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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Christian T. Gregory et al.

Application No./Patent No.: 10/632,268 Filed/Issue Date: July 31, 2003

Entitled: IRRIGATION SPRINKLER NOZZLE WITH ENHANCED CLOSE-IN WATER DISTRIBUTION

Rain Bird Corporation, a California Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014374, Frame 0982, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Mark W. Hetzler  
Signature

February 4, 2005

Date

Mark W. Hetzler

312.577.7000

Printed or Typed Name

Telephone Number

Reg. No. 38,183

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/80 (12-03)

Approved for use through 11/30/2005. OMB 0651-0035  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby appoint:

☒ Practitioners associated with the Customer Number:

22242

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

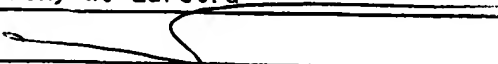
Assignee Name and Address: Raid Bird Corporation, a California corporation, at the following locations:

Raid Bird Corporation	Rain Bird Corporation	Rain Bird Corporation
145 North Grand Avenue	633 W. Foothill Boulevard	Residential Manufacturing Division
Glendora, CA 91741	Glendora, CA 91741	1000 W. Sierra Madre Avenue
		Azusa, CA 91702

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Anthony W. LaFetra		
Signature		Date	September 16, 2004
Title	President	Telephone	(626) 852-7078

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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KELLY BAUERSFELD LOWRY & KELLEY

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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 01, 2004

PTAS



\*102526184A\*

KELLY-BAUERSFELD  
JOHN D. BAUERSFELD  
6320 CANOGA AVENUE, #1650  
WOODLAND HILLS, CA 91367

## UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/31/2003

REEL/FRAME: 014374/0982  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
GREGORY, CHRISTIAN T.

DOC DATE: 07/21/2003

ASSIGNOR:  
ALARCON, JOHN J.

DOC DATE: 07/21/2003

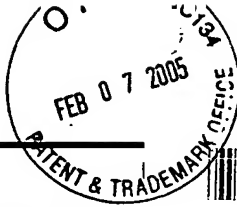
ASSIGNEE:  
RAIN BIRD CORPORATION  
145 NORTH GRAND AVENUE  
GLENORA, CALIFORNIA 91741

SERIAL NUMBER: 10632268  
PATENT NUMBER:

FILING DATE: 07/31/2003  
ISSUE DATE:

014374/0982 PAGE 2

SHARON BROOKS, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



08-14-2003

Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Christian T. Gregory  
John J. Alarcon

7-31-03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 07/21/2003

2. Name and address of receiving party(ies)

Name: Rain Bird Corporation

Internal Address: 145 North Grand Avenue,  
Glendora, CA 91741

Street Address: 145 North Grand Avenue

City: Glendora State: CA Zip: 91741

Additional name(s) & address(es) attached? ☐ Yes ☒ No

22154 U.S. PTO  
10/632268

07/31/03

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John D. Bauersfeld, Esq.

Internal Address: Kelly-Bauersfeld

6320 Canoga Avenue, #1650

Woodland Hills, CA 91367

Street Address: 6320 Canoga Ave #1650

City: Woodland Hills State: CA Zip: 91367

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Signature.

John D. Bauersfeld

Name of Person Signing

Signature

07/31/2003

Date

Total number of pages including cover sheet, attachments, and documents: 7

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Washington, D.C. 20231

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## ASSIGNMENT

This Assignment made on JULY 21, 2003, by Christian T. Gregory and John J. Alarcon, Assignors to Rain Bird Corporation, a California corporation, Assignee, having a place of business at 145 North Grand Avenue, Glendora, California 91741.

WHEREAS, Assignors have invented a new and useful IRRIGATION SPRINKLER NOZZLE WITH ENHANCED CLOSE-IN WATER DISTRIBUTION for which an application for United States Letters Patent was filed in the United States Patent and Trademark Office concurrently herewith, under Serial No. (Not yet known); and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for

any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein, and that said invention, said application and any Letters Patent granted for said invention are free and clear of all encumbrances and liens.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this  
Assignment on the date first above written.

Assignor: Christian T. Gregory  
Christian T. Gregory

Assignor: John J. Alarcon  
John J. Alarcon



State of California )  
County of Los Angeles ) ss.

On July 21, 2003 before me, Patricia C. Souter,

Notary Public, personally appeared CHRISTIAN T. GREGORY

- ☒ personally known to me
- ☐ ~~proved to me on the basis of satisfactory evidence~~ to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, of the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Patricia C. Souter  
(Signature of Notary)



State of California )  
County of Los Angeles ) ss.

On July 21, 2003 before me, Patricia C. Souther,

Notary Public, personally appeared: JOHN J. ALARCON

☒ personally known to me  
☐ ~~proved to me on the basis of satisfactory evidence~~ to be the  
person whose name is subscribed to the within instrument and  
acknowledged to me that he executed the same in his authorized  
capacity, and that by his signature on the instrument the person, of  
the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

Patricia C. Souther  
(Signature of Notary)

